

Optimizing Alphabet Reduction Pairs of Arrays

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Definition

Object: a pair (Q, P) of arrays with q columns on symbol set $\Sigma_q := \{0, \dots, q - 1\}$

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Constraints:

- (Γ_Q) $(0, 1, \dots, q-1)$ occurs at least once in Q
- (Γ_P) each row of P involves at most $p < q$ symbols of Σ_q
- $(k_=)$ Q and P are “ k -wise equivalent” :=
for all $J = (j_1, \dots, j_k) \in \Sigma_q^k$, subarrays Q^J and P^J are the same collection of rows

(NB by $(k_=)$, the number of rows in P is the same as in Q .)

Parameters:

- q the alphabet size
- p a positive number $\leq q$
- $k \in \{1, \dots, p\}$ the strength

Illustration when $(q, p, k) = (5, 4, 3)$

Q^0	Q^1	Q^2	Q^3	Q^4	P^0	P^1	P^2	P^3	P^4
0	0	1	3	4	0	0	1	0	3
0	0	2	0	4	0	0	2	3	4
0	0	2	3	3	0	0	2	3	4
0	1	1	0	4	0	1	1	3	4
0	1	1	3	3	0	1	1	3	4
0	1	2	0	3	0	1	2	0	4
0	1	2	3	4	0	1	2	0	4
0	1	2	3	4	0	1	2	3	3
0	1	2	3	4	0	1	2	3	3
4	0	1	0	3	4	0	1	0	4
4	0	1	0	3	4	0	1	3	3
4	0	2	3	4	4	0	2	0	3
4	1	1	3	4	4	1	1	0	3
4	1	2	0	4	4	1	2	3	4
4	1	2	3	3	4	1	2	3	4

$(Q, P) \in \Gamma(5, 4, 3)$?

(Γ_Q) : $(0, 1, 2, 3, 4)$ occurs $3 > 1$ times in Q

(Γ_P) : P_1 uses the $3 < 4$ symbols $0, 1, 3$,
 P_2 uses the $4 \leq 4$ symbols $0, 2, 3, 4, \dots$

Illustration when $(q, p, k) = (5, 4, 3)$

Q^0	Q^1	Q^2	Q^3	Q^4	P^0	P^1	P^2	P^3	P^4
0	0	1	3	4	0	0	1	0	3
0	0	2	0	4	0	0	2	3	4
0	0	2	3	3	0	0	2	3	4
0	1	1	0	4	0	1	1	3	4
0	1	1	3	3	0	1	1	3	4
0	1	2	0	3	0	1	2	0	4
0	1	2	3	4	0	1	2	0	4
0	1	2	3	4	0	1	2	3	3
0	1	2	3	4	0	1	2	3	3
4	0	1	0	3	4	0	1	0	4
4	0	1	0	3	4	0	1	3	3
4	0	2	3	4	4	0	2	0	3
4	1	1	3	4	4	1	1	0	3
4	1	2	0	4	4	1	2	3	4
4	1	2	3	3	4	1	2	3	4

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$(k_{=})$:
 for $J = \{2, 3, 4\}$ and $w = (1, 3, 4)$,
 w occurs as many times in P^J as in Q^J ;

Illustration when $(q, p, k) = (5, 4, 3)$

Q^0	Q^1	Q^2	Q^3	Q^4	P^0	P^1	P^2	P^3	P^4
0	0	1	3	4	0	0	1	0	3
0	0	2	0	4	0	0	2	3	4
0	0	2	3	3	0	0	2	3	4
0	1	1	0	4	0	1	1	3	4
0	1	1	3	3	0	1	1	3	4
0	1	2	0	3	0	1	2	0	4
0	1	2	3	4	0	1	2	0	4
0	1	2	3	4	0	1	2	3	3
0	1	2	3	4	0	1	2	3	3
4	0	1	0	3	4	0	1	0	4
4	0	1	0	3	4	0	1	3	3
4	0	2	3	4	4	0	2	0	3
4	1	1	3	4	4	1	1	0	3
4	1	2	0	4	4	1	2	3	4
4	1	2	3	3	4	1	2	3	4

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(Γ_P) : P_1 uses the $3 < 4$ symbols $0, 1, 3$,
 P_2 uses the $4 \leq 4$ symbols $0, 2, 3, 4, \dots$

$(k_{=})$:

for $J = \{2, 3, 4\}$ and $w = (1, 3, 4)$,
 w occurs as many times in P^J as in Q^J ;
for $J = \{2, 3, 4\}$ and $w = (2, 0, 4)$,
 w occurs as many times in P^J as in Q^J ;

Connection to CSPs with bounded constraint arity [CT18]

Motivation: reducing an instance I of a k CSP over Σ_q to k CSPs over Σ_p

- we use Q to model solutions of the initial instance I of a k CSP over Σ_q
in particular: $(0, 1, \dots, q-1)$ models an optimum solution of I
- we use P to model solutions of k CSPs over Σ_p

We define:

- $R^*(Q, P)$: the number of times $(0, 1, \dots, q-1)$ occurs in Q
- $R(Q, P)$: the number of rows in P (or, by (k_-) , in Q)
- $\Gamma(q, p, k)$: the set of the ARPAs with parameters (q, p, k)
- $\gamma(q, p, k)$: the greatest ratio $R^*(Q, P)/R(Q, P)$ over $\Gamma(q, p, k)$

Back to CSPs:

- $\gamma(q, p, k)$ is a lower bound for the best approximation ratio reached on I by a solution whose coordinates take at most p distinct values
- it also is a lower bound for the expansion of the reduction

Illustration when $(q, p, k) = (5, 4, 3)$

Q^0	Q^1	Q^2	Q^3	Q^4	P^0	P^1	P^2	P^3	P^4
0	0	1	3	4	0	0	1	0	3
0	0	2	0	4	0	0	2	3	4
0	0	2	3	3	0	0	2	3	4
0	1	1	0	4	0	1	1	3	4
0	1	1	3	3	0	1	1	3	4
0	1	2	0	3	0	1	2	0	4
0	1	2	3	4	0	1	2	0	4
0	1	2	3	4	0	1	2	3	3
0	1	2	3	4	0	1	2	3	3
4	0	1	0	3	4	0	1	0	4
4	0	1	0	3	4	0	1	3	3
4	0	2	3	4	4	0	2	0	3
4	1	1	3	4	4	1	1	0	3
4	1	2	0	4	4	1	2	3	4
4	1	2	3	3	4	1	2	3	4

$$(Q, P) \in \Gamma(5, 4, 3)$$

$$R^*(Q, P) = 3$$

$$R(Q, P) = 15$$

$$R^*(Q, P)/R(Q, P) = 3/15$$

$$\Rightarrow \gamma(5, 4, 3) \geq 1/5$$

Illustration when $(q, p, k) = (5, 4, 3)$

Q^0	Q^1	Q^2	Q^3	Q^4	P^0	P^1	P^2	P^3	P^4
0	0	1	3	4	0	0	1	0	3
0	0	2	0	4	0	0	2	3	4
0	0	2	3	3	0	0	2	3	4
0	1	1	0	4	0	1	1	3	4
0	1	1	3	3	0	1	1	3	4
0	1	2	0	3	0	1	2	0	4
0	1	2	3	4	0	1	2	0	4
0	1	2	3	4	0	1	2	3	3
0	1	2	3	4	0	1	2	3	3
4	0	1	0	3	4	0	1	0	4
4	0	1	0	3	4	0	1	3	3
4	0	2	3	4	4	0	2	0	3
4	1	1	3	4	4	1	1	0	3
4	1	2	0	4	4	1	2	3	4
4	1	2	3	3	4	1	2	3	4

$$(Q, P) \in \Gamma(5, 4, 3)$$

$$R^*(Q, P) = 3$$

$$R(Q, P) = 15$$

$$R^*(Q, P)/R(Q, P) = 3/15$$

$$\Rightarrow \gamma(5, 4, 3) \geq 1/5$$

→ for 3 CSPs over Σ_5 , the best solutions among those whose coordinates take at most 4 distinct values are $1/5$ -approximate

Facts that are already known about ARPAs

Property 1

$$\gamma(q, q, k) = 1, \quad q \geq k \geq 1 \quad (1)$$

$$\gamma(q+1, p+1, k) \geq \gamma(q, p, k), \quad q \geq p \geq k > 0 \quad (2)$$

Proof of (2).

Let $(Q, P) \in \Gamma(q, p, k)$. Consider e.g.:

$$\begin{array}{cccc|c}
 Q^0 & Q^1 & \dots & Q^{q-1} & Q^q \\
 \hline
 Q_0^0 & Q_0^1 & \dots & Q_0^{q-1} & q \\
 Q_1^0 & Q_1^1 & \dots & Q_1^{q-1} & q \\
 \vdots & \vdots & \dots & \vdots & \vdots \\
 Q_R^0 & Q_R^1 & \dots & Q_R^{q-1} & q
 \end{array}
 \quad \text{or} \quad
 \begin{array}{cccc|c}
 Q^0 & Q^1 & \dots & Q^{q-1} & Q^q \\
 \hline
 Q_0^0 & Q_0^1 & \dots & Q_0^{q-1} & Q_0^0 + q \\
 Q_1^0 & Q_1^1 & \dots & Q_1^{q-1} & Q_1^0 + q \\
 \vdots & \vdots & \dots & \vdots & \vdots \\
 Q_R^0 & Q_R^1 & \dots & Q_R^{q-1} & Q_R^0 + q
 \end{array}$$

(for the latter taking the addition modulo $(q+1)$) □

Already known facts

We define:

$$T(q, k) := \sum_{i=0}^k \binom{q}{i} \binom{q-1-i}{k-i}, \quad q > k \geq 0 \quad (3)$$

Proposition 2 ([CT18])

For all integers $k > 0$ and $q > k$, there exists $(Q, P) \in \Gamma(q, k, k)$ such that $R^*(Q, P) = 1$ and $R(Q, P) = (T(q, k) + 1) / 2$.

Proof (sketch).

Recursive construction starting with $P = Q = (0, 1, \dots, k - 1)$. □

Consequence (combining Proposition 2 and (2)):

$$\gamma(q, p, k) \geq 2 / (T(q - p + k, k) + 1), \quad q > p \geq k \geq 1 \quad (4)$$

Illustration when $(k, q) = (3, 5)$

Q^0	Q^1	Q^2	Q^3	Q^4	P^0	P^1	P^2	P^3	P^4
0	1	2	3	4	0	1	2	0	0
0	1	3	0	0	0	1	3	3	0
0	3	2	0	0	0	3	2	3	0
3	1	2	0	3	3	1	2	3	3
0	3	3	3	0	0	3	3	0	0
3	1	3	3	3	3	1	3	0	3
3	3	2	3	3	3	3	2	0	3
3	3	3	0	3	3	3	3	3	3
0	1	4	4	0	0	1	4	4	4
0	4	2	4	0	0	4	2	4	4
0	4	4	3	0	0	4	4	3	4
4	1	2	4	0	4	1	2	4	4
4	1	4	3	0	4	1	4	3	4
4	4	2	3	0	4	4	2	3	4
0	4	4	4	4	0	4	4	4	0
0	4	4	4	4	0	4	4	4	0
4	1	4	4	4	4	1	4	4	0
4	1	4	4	4	4	1	4	4	0
4	4	2	4	4	4	4	2	4	0
4	4	2	4	4	4	4	2	4	0
4	4	4	3	4	4	4	4	3	0
4	4	4	3	4	4	4	4	3	0
4	4	4	4	0	4	4	4	4	4
4	4	4	4	0	4	4	4	4	4
4	4	4	4	0	4	4	4	4	4

Questions addressed

- 1 Is the bound of $2/(T(q, k) + 1)$ for $\gamma(q, k, k)$ tight, $q > k > 0$?
- 2 Can we find better bounds for $\gamma(q, p, k)$, $q > p > k > 0$?

Can we simplify the problem?

Intuition behind $\gamma(q, p, k)$:

- We want to “cover” *as many as possible* occurrences of the *word of q symbols* $(0, 1, \dots, q - 1)$ by *as few as possible words of at most p symbols*
- The most critical aspect of a coefficient in Q and P is whether it matches its column index or not

Can we simplify the problem?

Q^0	Q^1	Q^2	Q^3	Q^4	P^0	P^1	P^2	P^3	P^4
0	?	?	3	4	0	?	?	?	?
0	?	2	?	4	0	?	2	3	4
0	?	2	3	?	0	?	2	3	4
0	1	?	?	4	0	1	?	3	4
0	1	?	3	?	0	1	?	3	4
0	1	2	?	?	0	1	2	?	4
0	1	2	3	4	0	1	2	?	4
0	1	2	3	4	0	1	2	3	?
0	1	2	3	4	0	1	2	3	?
?	?	?	?	?	?	?	?	?	4
?	?	?	?	?	?	?	?	3	?
?	?	2	3	4	?	?	2	?	?
?	1	?	3	4	?	1	?	?	?
?	1	2	?	4	?	1	2	3	4
?	1	2	3	?	?	1	2	3	4

(Q, P) is a **partially defined** solution:

- the coefficients that coincide with their column index are fixed,
- the other coefficients (with value '?') still must be defined,
- Q and P are k -wise equivalent

Question: can we replace each symbol '?' by a value *distinct from its column index* in such a way that (Q, P) stills satisfies $(k=)$, but also (Γ_P) ?

Cover pairs of arrays: definition

⇒ new (Boolean) object: *cover pairs of arrays*

Object: a pair (N, D) of arrays with n columns on symbol set $\{0, 1\}$

Constraints:

- (Δ_N) the row of all-ones occurs at least once in N
- (Δ_D) each row of D has at most $d < n$ non-zero coefficients
- $(k=)$ N and D are “ k -wise equivalent” :=
for all $J = (j_1, \dots, j_k) \in [n]^k$, subarrays D^J and N^J are the same collection of rows

Parameters:

- n the dimension
- d a positive number $\leq n$
- $k \in \{1, \dots, d\}$ the strength

Illustration when $(n, d, k) = (5, 4, 3)$

N^1	N^2	N^3	N^4	N^5	D^1	D^2	D^3	D^4	D^5
1	0	0	1	1	1	0	0	0	0
1	0	1	0	1	1	0	1	1	1
1	0	1	1	0	1	0	1	1	1
1	1	0	0	1	1	1	0	1	1
1	1	0	1	0	1	1	0	1	1
1	1	1	0	0	1	1	1	0	1
1	1	1	1	1	1	1	1	0	1
1	1	1	1	1	1	1	1	1	0
1	1	1	1	1	1	1	1	1	0
0	0	0	0	0	0	0	0	0	1
0	0	0	0	0	0	0	0	1	0
0	0	1	1	1	0	0	1	0	0
0	1	0	1	1	0	1	0	0	0
0	1	1	0	1	0	1	1	1	1
0	1	1	1	0	0	1	1	1	1

$(1, 1, \dots, 1)$ occurs $3 > 1$ times in N

Optimal CPAs

Notations:

- $R^*(N, D)$: the number of times $(1, 1, \dots, 1)$ occurs in N
- $R(N, D)$: the number of rows in D and N
- $\Delta(n, d, k)$: the set of the CPAs with parameters (n, d, k)

Quantity of interest: $\delta(n, d, k)$: the greatest ratio $R^*(N, D)/R(N, D)$ over $\Delta(n, d, k)$

→ we call *optimal* the CPAs that achieve $\delta(n, d, k)$

Connection to optimal ARPAs:

- since CPAs model partially defined ARPAs, we have: $\delta(n, d, k) \geq \gamma(n, d, k)$
- → *question*: what about the reverse inequality?

Definition

Weight of a boolean word: the number of its non-zero coordinates

Definition 3 (Regular CPAs)

CPAs in which the words of a given weight all occur the same number of times, in the same array.

Illustration when $(n, d, k) = (5, 4, 3)$

N^1	N^2	N^3	N^4	N^5	D^1	D^2	D^3	D^4	D^5
1	0	0	1	1	1	0	0	0	0
1	0	1	0	1	1	0	1	1	1
1	0	1	1	0	1	0	1	1	1
1	1	0	0	1	1	1	0	1	1
1	1	0	1	0	1	1	0	1	1
1	1	1	0	0	1	1	1	0	1
1	1	1	1	1	1	1	1	0	1
1	1	1	1	1	1	1	1	1	0
0	0	0	0	0	0	0	0	0	1
0	0	0	0	0	0	0	0	1	0
0	0	1	1	1	0	0	1	0	0
0	1	0	1	1	0	1	0	0	0
0	1	1	0	1	0	1	1	1	1
0	1	1	1	0	0	1	1	1	1

the words of weight 3 occur once in N
the word of weight 5 occurs 3 times in N
the word of weight 0 occurs twice in N

the words of weight 1 occur once in D
the words of weight 4 occur twice in D

Property

Property 4

Among the CPAs (N, D) that realize $\delta(n, d, k)$, there exist a regular one

Proof (sketch).

Permute the coefficients of each row of N and D by each permutation on $\{1, \dots, n\}$.

Deriving ARPAs from regular CPAs

Data: $(N, D) \in \Delta(n, d, k)$, $r :=$ the greatest weight $< n$ of a word in $N \cup D$

Theorem 5 ([CT24])

We can derive from (N, D) an ARPA $(Q, P) \in \Gamma(n, d', k)$ with the *same ratio* R^*/R as (N, D) , where $d' \leq d + 2$. In particular, $d' = d$ provided that $r = d$ and the words occurring in D have weight $\neq d - 1$.

Proof (sketch for the zero coefficients).

- 1 translate (N, D) into a partially defined ARPA (Q, P)
- 2 for each row u of weight r that occurs in N or D , map its zero coefficients to the column index of its leftmost coefficient initially equal to 1
- 3 “propagate” these assignments to words of smaller weight



Consequence: $\gamma(q, p, k) \geq \delta(q, d + 2, k)$

Illustration when $(n, d, k) = (5, 4, 3)$ and $r = d = d'$ $(N, D) \in \Delta(5, 4, 3)$

N^1	N^2	N^3	N^4	N^5	D^1	D^2	D^3	D^4	D^5
1	1	1	1	1	1	1	1	1	0
1	1	1	1	1	1	1	1	1	0
1	1	1	1	1	1	1	1	0	1
1	1	1	0	0	1	1	1	0	1
1	1	0	1	0	1	1	0	1	1
1	1	0	0	1	1	1	0	1	1
1	0	1	1	0	1	0	1	1	1
1	0	1	0	1	1	0	1	1	1
1	0	0	1	1	0	1	1	1	1
0	1	1	1	0	0	1	1	1	1
0	1	1	0	1	1	0	0	0	0
0	1	0	1	1	0	1	0	0	0
0	0	1	1	1	0	0	1	0	0
0	0	0	0	0	0	0	0	1	0
0	0	0	0	0	0	0	0	0	1

 $(Q, P) \in \Gamma(5, 4, 3)$

Q^0	Q^1	Q^2	Q^3	Q^4	P^0	P^1	P^2	P^3	P^4
0	1	2	3	4	0	1	2	3	0
0	1	2	3	4	0	1	2	3	0
0	1	2	3	4	0	1	2	0	4
0	1	2	0	0	0	1	2	0	4
0	1	0	3	0	0	1	0	3	4
0	1	0	0	4	0	1	0	3	4
0	0	2	3	0	0	0	2	3	4
0	0	2	0	4	0	0	2	3	4
0	0	0	3	4	1	1	2	3	4
1	1	2	3	0	1	1	2	3	4
1	1	2	0	4	0	0	0	0	0
1	1	0	3	4	1	1	0	0	0
1	0	2	3	4	1	0	2	0	0
1	0	0	0	0	1	0	0	3	0
1	0	0	0	0	1	0	0	0	4

Modelling regular CPAs

Variables:

- For $i \in \{0, \dots, n\}$, y_i : the number of times the words of weight i occur in N
- For $i \in \{0, \dots, d\}$, x_i : the number of times the words of weight i occur in D

$\Rightarrow y_n$ represents $R^*(N, D)$, while $\sum_{i=0}^d \binom{n}{i} x_i$ and $\sum_{i=0}^n \binom{n}{i} y_i$ both represent $R(N, D)$

Constraints:

- For (Δ_N) : $y_n \geq 1$
- For (k_-) : for $J \subseteq \{1, \dots, n\}$ with $|J| = k$ and $w \in \{0, 1\}^k$, the numbers of rows u of N and D satisfying $u_J = w$ only depends on the number of the non-zero coordinates of w

\rightarrow we consider for (k_-) the constraints:

$$\sum_{i=h}^d \binom{n-k}{i-h} x_i = \sum_{i=h}^{n-k+h} \binom{n-k}{i-h} y_i, \quad h \in \{0, \dots, k\} \quad (5)$$

(Where $\binom{n-k}{i-h}$ counts the number of words $u \in \{0, 1\}^n$ of weight i verifying $u_J = w$.)

Linear program

We denote by $LP_{n,d,k}$ the linear program in continuous variables below:

$$\left\{ \begin{array}{l} 2/\delta(n, d, k) - 1 = \min \sum_{i=0}^{n-1} \binom{n}{i} y_i + \sum_{i=0}^d \binom{n}{i} x_i \\ \text{s.t.} \quad \sum_{i=k}^d \binom{n-k}{i-k} x_i - \sum_{i=k}^{n-1} \binom{n-k}{i-k} y_i = 1 \\ \quad \quad \sum_{i=h}^d \binom{n-k}{i-h} x_i - \sum_{i=h}^{n-k+h} \binom{n-k}{i-h} y_i = 0, \quad h \in \{0, \dots, k-1\} \\ \quad \quad y_0, \dots, y_{n-1}, x_0, \dots, x_d \geq 0 \end{array} \right.$$

NB:

- It only requires $\Theta(n)$ variables and $\Theta(k)$ constraints to model the restriction of $\Delta(n, d, k)$ to regular designs
- (while it requires $\Theta(n^n)$ variables and $\Theta(\binom{n}{k} \times n^k)$ constraints to model $\Gamma(n, d, k)$, and still $\Theta(2^n)$ variables and $\Theta(\binom{n}{k} \times 2^k)$ constraints to model $\Delta(n, d, k)$)

Optimal regular CPAs

Theorem 6 ([CT24])

For each choice of $k + 2$ word weights

$$i_{k+1} = n > i_k = d > i_{k-1} > \dots > i_1 > i_0 = 0$$

that occur alternately in N and D , there exists a regular CPA $(N, D) \in \Delta(n, d, k)$ with ratio $R^*(N, D)/R(N, D)$ equal to:

$$2 / \left(1 + \sum_{r=0}^k \prod_{s \in \{0, \dots, k\} \setminus \{r\}} \frac{n - i_s}{|i_r - i_s|} \right) \quad (6)$$

The best such CPA realizes $\delta(n, d, k)$.

Proof (sketch).

- we characterize the feasible bases of $LP_{n,d,k}$
- we give necessary conditions for a feasible base of $LP_{n,d,k}$ to be optimal



Optimal regular CPAs

Corollary 7

$$\gamma(q, p, k) = \delta(q, p, k), \quad q \geq p \geq k > 0 \quad (7)$$

$$\gamma(q, k, k) = 2 / (T(q, k) + 1), \quad q > k \geq 1 \quad (8)$$

$$\gamma(q, p, 1) = p/q, \quad q \geq p \geq 1 \quad (9)$$

$$\gamma(q, p, 2) = \lceil p/2 \rceil \lfloor p/2 \rfloor / ((q - \lceil p/2 \rceil)(q - \lfloor p/2 \rfloor)), \quad q \geq p \geq 2 \quad (10)$$

Proof (sketch).

- we deduce from Theorem 6 the analytic expression of $\delta(n, d, k)$ in case when $k \in \{1, 2, p\}$
- by Theorem 5, we can derive from optimal CPAs of Theorem 6 ARPAs with the same set of parameters and the same ratio of R^*/R



Summary of the facts exposed

As regards $\gamma(q, p, k)$:

- computing optimal ARPAs reduces to compute optimal CPAs
- we are aware of optimal ARPAs (and CPAs) in case when $p \in \{q, k\}$ or $k \in \{1, 2\}$
- for the other cases, we somehow know how to derive the expression of optimal solutions
- we know, however, how to construct (suboptimal) ARPAs (and CPAs) for all set (q, p, k) of parameters (many ways)

Direction for further researchs:

- providing the analytic expression of $\gamma(q, p, k)$ (and $\delta(q, p, k)$) for other cases (i.e., when $q > p > k > 2$)
- studying the case where repeated rows are not allowed
- redesigning (optimal) solutions using only a few rows

Notice that CPAs (and thus, ARPAs) have another connection to CSPs:

$\delta(n, d, k)$ is a lower bound on approximation guarantee reached on Hamming balls of radius k .

Illustration: two ARPAs achieving $\gamma(5, 3, 2)$

Q^0	Q^1	Q^2	Q^3	Q^4	P^0	P^1	P^2	P^3	P^4
0	1	2	3	4	3	3	2	3	4
1	2	2	1	4	1	1	2	1	4
3	3	2	2	4	0	2	2	2	4
3	3	3	3	3	0	1	3	3	3
1	1	3	1	3	1	2	3	1	3
0	2	3	2	3	3	3	3	2	3

Q^0	Q^1	Q^2	Q^3	Q^4	P^0	P^1	P^2	P^3	P^4
0	1	2	3	4	0	1	2	0	0
0	1	2	3	4	0	1	0	3	0
0	1	2	3	4	0	1	0	0	4
0	0	0	0	0	0	0	2	3	0
0	0	0	0	0	0	0	2	0	4
0	0	0	0	0	0	0	0	3	4
1	1	0	0	0	1	1	2	3	1
1	1	0	0	0	1	1	2	1	4
1	1	1	1	1	1	1	1	3	4
1	0	2	0	0	2	2	2	3	4
1	0	2	0	0	1	0	0	0	0
2	2	2	1	1	1	0	0	0	0
1	0	0	3	0	1	0	0	0	0
1	0	0	3	0	1	0	0	0	0
2	2	1	3	1	1	0	0	0	0
1	0	0	0	4	1	0	0	0	0
1	0	0	0	4	2	2	1	1	1
2	2	1	1	4	2	2	1	1	1

Illustration: alternate constructions

Proposition 8

For all integers $q \geq 3$, there exists $(Q, P) \in \Gamma(q, q - \lfloor q/3 \rfloor, 2)$ such that $R^*(Q, P) = 1$ and $R(Q, P) = 4$.

Proof.

- Partition Σ_q into any three subsets A, B, C of cardinality in $\{\lfloor q/3 \rfloor, \lceil q/3 \rceil\}$.
- Pick any two symbols $x \in A$ and $y \in B \cup C$.
- Consider then the pair (Q, P) below

Q^A	Q^B	Q^C	P^A	P^B	P^C
A	B	C	A	B	$x \dots x$
A	$x \dots x$	$x \dots x$	A	$x \dots x$	C
$y \dots y$	B	$x \dots x$	$y \dots y$	B	C
$y \dots y$	$x \dots x$	C	$y \dots y$	$x \dots x$	$x \dots x$

(NB by (10), the construction is optimal if q is a multiple of 3.)



ARPAs maximizing R^*/R or minimizing R

We define $R(q, p, k) :=$ the smallest number of rows over $\Gamma(q, p, k)$.

For $\gamma(q, p, k)$, we indicate the ratio $R^*(Q, P)/R(Q, P)$ on ARPAs (Q, P) that minimize $R(Q, P)$ among those which realize $\gamma(q, p, k)$.

$q :$		3		4		5		6		7	
k	p	γ	R	γ	R	γ	R	γ	R	γ	R
2	2	1/4*	4*	1/9*	9*	1/16*	16*	1/25*	25*	1/36*	36*
	3	—	—	2/6*	4*	1/6*	6*	1/10	10	1/15	15
	4	—	—	—	—	8/18*	4*	1/4*	4*		
	5	—	—	—	—	—	—	7/14	4*	3/10	4*
	6	—	—	—	—	—	—	—	—	9/16	
3	3	—	—	1/8*	8*	1/25*	25*	1/56*	56*	1/105*	105*
	4	—	—	—	—	3/15	8	4/54			
	5	—	—	—	—	—	—	6/24			

* mark: cases for which we know how to construct a design that realizes the corresponding value (the other values have been calculated by computer).

blue color: cases where a regular design achieves the corresponding number

Relaxed ARPAs

In brief: almost the same thing as ARPAs, but any two words $(w_0, w_1, \dots, w_{n-1})$ and $(w_0+a, w_1+a, \dots, w_{n-1}+a)$ are considered equivalent (\sim_q)

Notations:

- $R^*(Q, P)$: the number of rows u of Q satisfying $u \sim_q (0, 1, \dots, q-1)$
- $R(Q, P)$: the number of rows in P (or, by $(k \sim)$, in Q)
- $\Gamma_E(q, p, k)$: the set of the relaxed ARPAs with parameters (q, p, k)
- $\gamma_E(q, p, k)$: the greatest ratio $R^*(Q, P)/R(Q, P)$ over $\Gamma_E(q, p, k)$
(NB of course, we have $\gamma_E(q, p, k) \geq \gamma(q, p, k)$)

Motivation [CT18]:

- $k\text{CSP}(\mathcal{E}_q)$: k CSPs over Σ_q in which the constraints are stable under the shift by a same quantity of all their entries
- \Rightarrow the same as for ARPAs, but reducing $k\text{CSP}(\mathcal{E}_q)$ to k CSPs over Σ_p

Similarly to the case of ARPAs, we can seek and find bounds and constructions for $\gamma_E(q, p, k)$ and $\Gamma_E(q, p, k)$

Illustration when $(q, p, k) = (5, 4, 3)$

Q^0	Q^1	Q^2	Q^3	Q^4	P^0	P^1	P^2	P^3	P^4
0	0	1	3	4	0	0	1	2	3
0	0	2	2	4	0	0	2	3	4
0	0	2	3	3	0	0	2	3	4
0	1	1	2	3	0	1	1	3	4
0	1	2	3	4	0	1	2	2	4
0	1	2	3	4	0	1	2	3	0
1	2	3	4	0	0	1	2	3	3
2	3	4	0	1	0	1	2	4	4
0	1	3	4	0	0	1	3	3	4
0	2	2	3	0	0	2	2	3	4
0	2	2	4	4	0	2	2	3	4
0	2	3	3	4	0	2	3	4	0

$$R^*(Q, P) = 4$$

$$R(Q, P) = 12$$

$$R^*(Q, P)/R(Q, P) = 4/12$$

$(k_{\sim})?$

Illustration when $(q, p, k) = (5, 4, 3)$

Q^0	Q^1	Q^2	Q^3	Q^4	P^0	P^1	P^2	P^3	P^4
0	0	1	3	4	0	0	1	2	3
0	0	2	2	4	0	0	2	3	4
0	0	2	3	3	0	0	2	3	4
0	1	1	2	3	0	1	1	3	4
0	1	2	3	4	0	1	2	2	4
0	1	2	3	4	0	1	2	3	0
1	2	3	4	0	0	1	2	3	3
2	3	4	0	1	0	1	2	4	4
0	1	3	4	0	0	1	3	3	4
0	2	2	3	0	0	2	2	3	4
0	2	2	4	4	0	2	2	3	4
0	2	3	3	4	0	2	3	4	0

$$R^*(Q, P) = 4$$

$$R(Q, P) = 12$$

$$R^*(Q, P)/R(Q, P) = 4/12$$

$(k_{\sim})?$

- for $J = \{1, 2, 3\}$ and $w = (0, 1, 3)$,

$P_r^J \sim_q w$ occurs as many often as $Q_r^J \sim_q w$;

Illustration when $(q, p, k) = (5, 4, 3)$

Q^0	Q^1	Q^2	Q^3	Q^4	P^0	P^1	P^2	P^3	P^4
0	0	1	3	4	0	0	1	2	3
0	0	2	2	4	0	0	2	3	4
0	0	2	3	3	0	0	2	3	4
0	1	1	2	3	0	1	1	3	4
0	1	2	3	4	0	1	2	2	4
0	1	2	3	4	0	1	2	3	0
1	2	3	4	0	0	1	2	3	3
2	3	4	0	1	0	1	2	4	4
0	1	3	4	0	0	1	3	3	4
0	2	2	3	0	0	2	2	3	4
0	2	2	4	4	0	2	2	3	4
0	2	3	3	4	0	2	3	4	0

$$R^*(Q, P) = 4$$

$$R(Q, P) = 12$$

$$R^*(Q, P)/R(Q, P) = 4/12$$

$(k_{\sim})?$

- for $J = \{1, 2, 3\}$ and $w = (0, 1, 3)$,

$P_r^J \sim_q w$ occurs as many often as $Q_r^J \sim_q w$;

- for $J = \{1, 2, 3\}$ and $w = (0, 2, 2)$,

$P_r^J \sim_q w$ occurs as many often as $Q_r^J \sim_q w$;

Illustration when $(q, p, k) = (5, 4, 3)$

Q^0	Q^1	Q^2	Q^3	Q^4	P^0	P^1	P^2	P^3	P^4
0	0	1	3	4	0	0	1	2	3
0	0	2	2	4	0	0	2	3	4
0	0	2	3	3	0	0	2	3	4
0	1	1	2	3	0	1	1	3	4
0	1	2	3	4	0	1	2	2	4
0	1	2	3	4	0	1	2	3	0
1	2	3	4	0	0	1	2	3	3
2	3	4	0	1	0	1	2	4	4
0	1	3	4	0	0	1	3	3	4
0	2	2	3	0	0	2	2	3	4
0	2	2	4	4	0	2	2	3	4
0	2	3	3	4	0	2	3	4	0

$$R^*(Q, P) = 4$$

$$R(Q, P) = 12$$

$$R^*(Q, P)/R(Q, P) = 4/12$$

$(k_{\sim})?$

- for $J = \{1, 2, 3\}$ and $w = (0, 1, 3)$,

$P_r^J \sim_q w$ occurs as many often as $Q_r^J \sim_q w$;

- for $J = \{1, 2, 3\}$ and $w = (0, 2, 2)$,

$P_r^J \sim_q w$ occurs as many often as $Q_r^J \sim_q w$;

- for $J = \{1, 2, 3\}$ and $w = (0, 2, 3)$,

$P_r^J \sim_q w$ occurs as many often as $Q_r^J \sim_q w$;

Illustration when $(q, p, k) = (5, 4, 3)$

Q^0	Q^1	Q^2	Q^3	Q^4	P^0	P^1	P^2	P^3	P^4
0	0	1	3	4	0	0	1	2	3
0	0	2	2	4	0	0	2	3	4
0	0	2	3	3	0	0	2	3	4
0	1	1	2	3	0	1	1	3	4
0	1	2	3	4	0	1	2	2	4
0	1	2	3	4	0	1	2	3	0
1	2	3	4	0	0	1	2	3	3
2	3	4	0	1	0	1	2	4	4
0	1	3	4	0	0	1	3	3	4
0	2	2	3	0	0	2	2	3	4
0	2	2	4	4	0	2	2	3	4
0	2	3	3	4	0	2	3	4	0

$$R^*(Q, P) = 4$$

$$R(Q, P) = 12$$

$$R^*(Q, P)/R(Q, P) = 4/12$$

$(k_{\sim})?$

- for $J = \{1, 2, 3\}$ and $w = (0, 1, 3)$,

$P_r^J \sim_q w$ occurs as many often as $Q_r^J \sim_q w$;

- for $J = \{1, 2, 3\}$ and $w = (0, 2, 2)$,

$P_r^J \sim_q w$ occurs as many often as $Q_r^J \sim_q w$;

- for $J = \{1, 2, 3\}$ and $w = (0, 2, 3)$,

$P_r^J \sim_q w$ occurs as many often as $Q_r^J \sim_q w$;

...

$$\Rightarrow \gamma_E(5, 4, 3) \geq 1/3$$

Relaxed ARPAs maximizing R^*/R or minimizing R

We define $R_E(q, p, k) :=$ the smallest number of rows over $\Gamma_E(q, p, k)$.

For $\gamma_E(q, p, k)$, we indicate the ratio $R^*(Q, P)/R(Q, P)$ in $(Q, P) \in \Gamma_E(q, p, k)$ that minimize $R(Q, P)$ among those which realize $\gamma_E(q, p, k)$

q :		3		4		5		6		7	
k	p	γ_E	R_E	γ_E	R_E	γ_E	R_E	γ_E	R_E	γ_E	R_E
2	2	1/3*	3*	1/4*	4*	2/10	7	9/59	8	3/21	
	3	—	—	6/12	3*	4/10	4*	8/26	5	48/168	
	4	—	—	—	—	6/10	3*	7/15	3*	9/21	
	5	—	—	—	—	—	—	40/60	3*	11/21	3*
	6	—	—	—	—	—	—	—	—	15/21	3*
3	3	—	—	1/4*	4*	5/55	14	153700/2805368			
	4	—	—	—	—	4/12	8	1/6	6		
	5	—	—	—	—	—	—	8/18	4*		
	6	—	—	—	—	—	—	—	—	14/28	
4	4	—	—	—	—	4/44	15*				
	5	—	—	—	—	—	—	44/264			
5	5	—	—	—	—	—	—	1/16	16		
	6	—	—	—	—	—	—	—	—	6/60	

* mark: cases for which we know how to construct a design that realizes the corresponding value (the other values have been calculated by computer).

blue color: cases that meet a lower bound we have established



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2 csps all are approximable within a constant differential factor.

In Jon Lee, Giovanni Rinaldi, and A. Ridha Mahjoub, editors, *Combinatorial Optimization*, volume 10856 of *Lecture Notes in Computer Science*, pages 389–401, Cham, 2018. Springer International Publishing.



Jean-François Culus and Sophie Toulouse.

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