

# On the unimodality consequence of the Neggers- Stanley conjecture

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Joint work with

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# Preliminaries

- 1  $P$  is a poset on  $[n] = \{1, \dots, n\}$  ordered by  $<_P$ .
- 2  $P$  is **naturally** labeled if

$$p <_P q \implies p < q$$

We will consider naturally labeled poset in our work.

- 3 A **linear extension** of  $P$  is a total order  $\prec$  on  $[n]$  such that

$$p <_P q \implies p \prec q$$

If  $p_1 \prec \dots \prec p_n$  then we write  $\pi = p_1 \dots p_n \in S_n$  for the total order

- 4 The **length** of  $P$  is

$$l(P) = \max \{r \mid \exists p_1 < \dots < p_{i-1} < p_r \text{ in } P\}$$

## Example

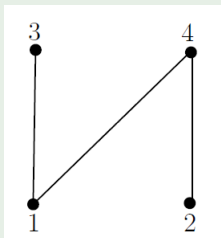


Figure: Naturally labeled poset  $P$

The linear extensions of  $P$  are

1234, 1324, 1243, 2134, 2143

## Example

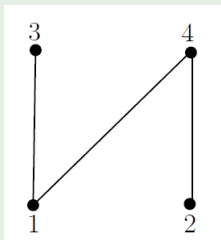


Figure: Naturally labeled poset  $P$

The linear extensions of  $P$  are

$$\underbrace{1234}_0, \underbrace{1324}_1, \underbrace{1243}_1, \underbrace{2134}_1, \underbrace{2143}_2$$

Define

$$h_P(t) = \sum_{\pi} t^{\text{des}\pi}$$

- Neggers-Stanley conjectured that  $h_P(t)$  is real rooted. This was shown to be false by Branden and Stembridge.
- **Well known:** Real rooted implies unimodality.
- Unimodality implication of Neggers-Stanley (still open)
- **This talk:** Extend a known approach to unimodality from graded to non-graded posets (no proof of unimodality yet)

- 1 An **order ideal**  $I$  in a poset  $P$  is a subset  $I \subseteq P$  such that if  $x \in I$  and  $y <_P x$  implies  $y \in I$ .
- 2 The **distributive lattice** of  $P$  is

$$L(P) = \{I \mid I \text{ order ideal in } P\}$$

ordered by inclusion.

- 3 A  **$P$ -partition** is a map  $\sigma : P \rightarrow \mathbb{N} \in \mathbb{R}^P$  satisfy the following condition

$$\text{If } s <_P t \text{ in } P, \text{ then } \sigma(t) \leq \sigma(s).$$

For an order ideal  $I$  its indicator function  $\sigma_I : P \rightarrow \mathbb{N} \in \mathbb{R}^P$  is a  $P$ -partition.

- 4 The **order polytope**  $O(P)$  is defined as

$$O(P) = \text{Conv} \{ \sigma_I \mid I \text{ order ideals in } P \}$$

# Triangulations of order polytope

**Triangulation** of  $O(P)$ : Geometric simplicial complex  $\Delta$  with realization  $O(P)$ .

- 1 A triangulation of a polytope  $\Gamma$  in  $\mathbb{R}^m$  is called **regular** if it can be obtained by projecting the lower envelope of a lifting of  $\Gamma$  to  $\mathbb{R}^{m+1}$ .
- 2 A triangulation  $\Delta'$  of  $\Gamma$  is **unimodular** if normalized volume  $\text{Vol}(\gamma) = 1$  for every maximal simplex  $\gamma$  in  $\Delta'$ .



## Example

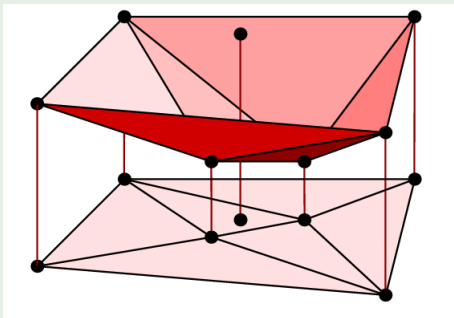


Figure: Regular Triangulation

## Theorem (Stanley)

$$\Delta_S = \{\text{Conv}\{\sigma_{I_1}, \dots, \sigma_{I_r}\} \mid I_1 \subset \dots \subset I_r \in L(P)\}$$

*is a regular unimodular triangulation of  $O(P)$ .*

## Lemma

*If  $\Delta$  is a regular unimodular triangulation of  $O(P)$  then*

$$h_P(t) = h_\Delta(t) \text{ the } h\text{-polynomial of } \Delta.$$

Together with Ehrhart's theorem these results imply:

Theorem (well known)

$$\sum_{n \geq 0} \left| nO(P) \cap \mathbb{N}^P \right| \cdot t^n = \frac{\sum_{i=0}^r h_i t^i}{(1-t)^{|P|+1}} = \frac{h_P(t)}{(1-t)^{|P|+1}}$$

where  $r = |P| - l(P)$ .

- If  $P$  is graded then by [Reiner, Welker] in 2005 there is a regular unimodular triangulation  $\Delta$  of  $O(P)$  such that

$$\Delta = 2^{\Omega} * \Delta'$$

where  $\Delta'$  is a simplicial polytope.

$$\implies h_P(t) = h_{\Delta}(t) = h_{\Delta'}(t)$$

- $g$ -theorem for simplicial polytope  $\implies h_P(t)$  is unimodular.

## Theorem (Khan, Welker)

Let  $P$  be a poset then there is a triangulation  $\Delta$  of  $O(P)$  such that

where

$$\Delta = 2^{\{1, \dots, l(P)\}} * \Delta'$$

$$\Delta' = \begin{cases} \text{ball of dim } |P| - l(P) & \text{if } P \text{ is not graded} \\ \text{sphere of dim } |P| - l(P) - 1 & \text{if } P \text{ is graded [Reiner, Welker]} \end{cases}$$

## Corollary

If  $P$  is not graded then  $h_P(t) = \sum_{i=0}^r h_i t^i$  where  $r = |P| - l(P)$  and  $(h_0, \dots, h_r, 0)$  is the  $h$ -vector of a triangulated ball of dimension  $|P| - l(P)$ .

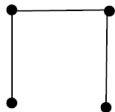
**Bad news:** No  $g$ -theorem for triangulated balls

**Still hope:** Find  $g$ -theorem for "special" balls

 $Q$ 

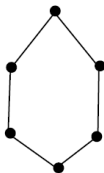
$$1 + 2t + 0t^2$$

$$\dim = |P| - 2$$

 $P$ 

$$1 + 4t + t^2$$

$$\dim = |P| - 2 - 1$$



# Approach

Recall definitions for graded posets:  $P$  with  $k$  rank sets  $P_1, \dots, P_k$ .

### Definition (Equatorial $P$ -partition)

A  $P$ -partition  $\sigma$  is called **equatorial** if

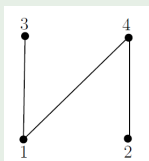
- 1  $\min_{p \in P} \sigma(p) = 0$ .
- 2 For every  $j \in [2, k] \exists p_{j-1} <_P p_j$  with  $p_{j-1} \in P_{j-1}, p_j \in P_j$  and  $\sigma(p_{j-1}) = \sigma(p_j)$ .

### Definition (Rank constant $P$ -partition)

A  $P$ -partition  $\sigma$  is called **rank-constant** if it is constant along ranks i.e  $\sigma(p) = \sigma(q)$  whenever  $p, q \in P_j$  for some  $j$ .



## Example



For the poset the indicator function of ideals which are equatorial  $P$ -partitions are

$$(1) = (1, 0, 0, 0), (2) = (0, 1, 0, 0), (13) = (1, 0, 1, 0),$$

$$(123) = (1, 1, 1, 0), (124) = (1, 1, 0, 1)$$

The indicator functions of ideals which are rank-constant  $P$ -partitions are  $(12) = (1, 1, 0, 0)$  and  $(1234) = (1, 1, 1, 1)$ .

Extension to non-graded posets:

### Definition

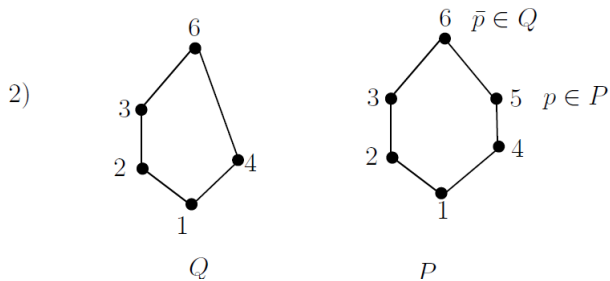
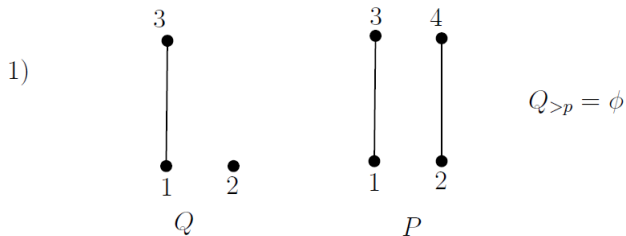
Let  $Q \subseteq P$  be posets. We call  $Q$  **unique** (in  $P$ ) if for all  $p \in P \setminus Q$

■

$$Q_{>p} = \{y \in Q \mid y > p\}$$

is either empty or has a unique minimal element  $\bar{p}$  and

■  $I(P) = I(Q)$ .



## Lemma

Let  $Q$  be a poset then there exists a graded poset  $Q \subseteq P$  with  $Q$  unique in  $P$ .

## Definition

Assume  $Q \subseteq P$  is unique. We define map  $i$  which maps a  $Q$ -partition to a  $P$ -partition. If  $f$  be a  $Q$ -partition then  $i(f)$  be defined as

$$i(f)(p) = \begin{cases} f(p) & \text{if } p \in Q \\ 0 & \text{if } p \notin Q, Q_{>p} = \emptyset \\ f(\bar{p}) & \text{if } p \notin Q, \bar{p} \in Q, \bar{p} \geq p \end{cases}$$

## Lemma

*If  $f$  is a  $Q$ -partition then  $i(f)$  is a  $P$ -partition.*

## Lemma

*If  $Q$  is unique in  $P$  and  $g$  is a  $P$ -partition then there exists a  $Q$ -partition  $f$  with  $i(f) = g$  iff the following holds.*

- 1**  $g(p) = 0$  for  $p \notin Q$ ,  $Q_{>p} = \emptyset$ .
- 2**  $g(p) = f(\bar{p})$  for  $p \notin Q$ , and  $\bar{p} \in Q$  the unique minimal element of  $Q_{>p}$ .

## Definition

A  $Q$ -partition  $f$  is called **equatorial** (resp., **rank-constant**) if  $i(f)$  is equatorial (rank-constant).

## Definition

A chain of ideals  $I_1 \subset \cdots \subset I_d$  in  $L(P)$  is called **equatorial** (resp., **rank-constant**) if the

$$\sum_{j=1}^d i(I_j)$$

is an equatorial (resp., rank-constant)  $P$ -partition.

## Lemma

*Let  $Q \subseteq P$  posets and  $Q$  is unique in  $P$ .*

*For every  $Q$ -partition  $f$  there exists a unique decomposition*

$$f = f^{\text{eq}} + f^{\text{rc}}$$

*where  $f^{\text{eq}}$  is an equatorial  $Q$ -partition and  $f^{\text{rc}}$  is a rank-constant  $Q$ -partition.*

## Proposition

Let  $P$  be a non-graded poset then there is a triangulation  $\Delta$  of  $O(P)$  such that

$$\Delta = 2^{\{1, \dots, l(P)\}} * \Delta'$$

where

- $2^{\{1, \dots, l(P)\}}$  is the simplex of rank constant chains in  $L(P)$  and
- $\Delta'$  is the simplicial complex of equatorial chains  $I_1 \subset \dots \subset I_d$  in  $L(P)$ .



## Additional work:

Reiner and Welker sketch an idea by Dennis White of a *jeu-de-taquin* like bijection between

$$\left\{ \text{linear extensions of } P \right\} \leftrightarrow \left\{ \text{maximal equatorial chains} \right\}.$$

**Our work:** Make this idea rigorous

# Thank You

## Definition

A map  $\pi : P \rightarrow [m]$  is  $i$ -unambiguous if it satisfies the following conditions.

- 1  $\pi(p) = \pi(p') \implies p \leq p' \text{ or } p' \leq p$
- 2  $|\{\pi(p) \mid l(p) \geq i\}| = |\{p \mid l(p) \geq i\}|$
- 3 For all  $j \leq i - 1$  there is exactly one pair  $p, p'$ ,  $l(p') = j$ ,  $p$  covers  $p'$  with  $\pi(p) = \pi(p')$ .

## Theorem

*The map  $\phi : \pi \rightarrow l_{|P|-n}$  where  $n = l(P)$  is a bijection between linear extensions and equatorial chains of  $P$ .*